# **Ownership and References**

Jonathan Dönszelmann & Vivian Roest

Delft University of Technology

2024-11-18



#### Last week

- Data Types
  - Describing sizes of things in memory
  - Descrabing the behavior of values
  - Expressing proofs

# **Today**

#### Four slightly different topics:

- 1. Ownership and references
- 2. Mutability
- 3. Slices
- 4. A sample of Enum types (more next lecture)

Over the last week you may have seen this:

```
1 fn sum(y: Vec<i32>) -> i32 {
2    // ...
3 }
4
5 fn main() {
6  let x = vec![1, 2, 3];
7  let s = sum(x);
8  println!("sum of {x:?} is {s}");
9 }
```

#### **Question:**

Why doesn't this work?

- vec![1, 2, 3] is a value
- it lives somewhere in memory

- vec![1, 2, 3] is a value
- it lives somewhere in memory
- x is a "binding".
- x binds a value, like vec![1, 2, 3]

```
1 let x = vec![1, 2, 3];
```

Rust

- vec![1, 2, 3] is a value
- it lives somewhere in memory
- x is a "binding".
- x binds a value, like vec![1, 2, 3]
- a binding has a certain scope
- the scope of x is the main function's scope

```
1 fn main() {
2  let x = vec![1, 2, 3];
3 }
```

- vec![1, 2, 3] is a value
- it lives somewhere in memory
- x is a "binding".
- x binds a value, like vec![1, 2, 3]
- a binding has a certain scope
- but the scope could be different, like here

```
1 fn main() {
2   if true {
3     let x = vec![1, 2, 3];
4   }
5   // ...
6 }
```

#### The Rules Of Rust:

- **Every** value (like vec![1, 2, 3])
- at a single point in the program
- has a single binding (read "variable name")
- in a **single** scope
- This binding is called the owner

```
1 fn main() {
2  // x owns vec![1, 2, 3] in the scope of `fn main`
3  let x = vec![1, 2, 3];
4 }
```

```
1 fn main() {
2   // x owns vec![1, 2, 3] in the scope of `fn main`
3   let x = vec![1, 2, 3];
4   // the value is moved
5   // y now owns vec![1, 2, 3]
6   let y = x;
7 }
```

Ownership can move, x no longer is the owner

```
fn other(y: Vec<i32>) {
                                                                                           Rust
2
       // now y owns the value
3
   }
4
5
   fn main() {
    // x owns vec![1, 2, 3] in the scope of `fn main`
6
   let x = vec![1, 2, 3];
    // the value is moved
     other(x);
9
10 }
```

Ownership can move, from function to function

```
1 fn main() {
2   // x owns vec![1, 2, 3] in the scope of `fn main`
3  let x = vec![1, 2, 3];
4
5   // x goes out of scope
6   // vec![1, 2, 3] is destroyed
7 }
```

If the owner goes out of scope, the value is destroyed

```
fn other(y: Vec<i32>) {
                                                                                         Rust
  // now y owns it!
   // and vec![1, 2, 3] is deleted here
3
4
   }
5
   fn main() {
   // x owns vec![1, 2, 3] in the scope of `fn main`
   let x = vec![1, 2, 3];
    // the value is moved
     other(x);
10
11 }
```

If the owner goes out of scope, the value is destroyed

- Every binding must go out of scope somewhere
- So every value is deleted somewhere\*

```
1 use std::mem;
2
3 fn main() {
4    // x owns vec![1, 2, 3] in the scope of `fn main`
5    let x = vec![1, 2, 3];
6    // x is moved into the forget function
7    // but `forget` promises to never delete the value
8    mem::forget(x);
9 }
```

But what if we want this?

```
1 fn sum(y: Vec<i32>) -> i32 {
2    // ...
3 }
4
5 fn main() {
6  let x = vec![1, 2, 3];
7  let s = sum(x);
8  println!("sum of {x:?} is {s}");
9 }
```

But what if we want this?

• clone takes a value, and **duplicates** that value

```
1 // x owns vec![1, 2, 3]
2 let x = vec![1, 2, 3];
3 // y now owns a new duplicated *different* instance of `vec![1, 2, 3]`
4 // x also still owns the original instance
5 let y = x.clone();
```

But what if we want this?

```
1 fn sum(y: Vec<i32>) -> i32 {
2    // ...
3 }
4
5 fn main() {
6  let x = vec![1, 2, 3];
7    // so clone here!
8  let s = sum(x.clone());
9  println!("sum of {x:?} is {s}");
10 }
Rust
```

#### Disadvantages

- Using clone we double the amount of memory needed
- Cloning takes O(n) time for a vector of n elements

Can't we just, like, not move x into the sum function?

```
fn sum(y: Vec<i32>) -> i32 {
                                                                                           Rust
    // ...
3
   }
4
   fn main() {
     let x = vec![1, 2, 3];
6
    // avoid moving here?
    let s = sum(x);
     println!("sum of {x:?} is {s}");
9
10
   }
```

#### Sure! use a reference

```
// add an `&` here
                                                                                         Rust
   fn sum(y: &Vec<i32>) -> i32 {
   // ...
5
   fn main() {
   let x = vec![1, 2, 3];
   // use an `&` here
  let s = sum(\&x);
10
     println!("sum of {x:?} is {s}");
11 }
```

- We call this "borrowing"
- y borrows the value vec![1, 2, 3], x still owns it

- y does own *something* though
- all values have an owner
- y just owns a value that's a reference, not the real vec![1, 2, 3]

•

```
1 fn sum(y: &Vec<i32>) -> i32 {
2  // y owns &vec![1, 2, 3]
3  // it goes out of scope here, and the *reference* is deleted
4  // not the original value
5 }
```

y doesn't use as much memory as vec![1, 2, 3]

```
1 fn sum(y: &Vec<i32>) -> i32 {
2    // ...
3 }
4
5 fn main() {
6  let x = vec![1, 2, 3];
7  let s = sum(&x);
8  println!("sum of {x:?} is {s}");
9 }
```

- it doesn't store the whole value
- it just stores where we can find the real value, in the stack of main
- this is called a pointer

Watch out though! We can only reference something that still exists.

```
1  fn example() -> &Vec<i32> {
2   let a = vec![1, 2, 3];
3   return &a;
4   // a goes out of scope here
5  }
6
7  fn main() {
8   // what are we pointing to?
9  let ref_to_a = example();
10 }
```

#### So this does not compile!

https://play.rust-lang.org/?version=stable&mode=debug&edition=2021&gist=cb10ad88b0a 86480772ee143322156cb

Watch out though! We can only reference something that still exists.

```
1 fn main() {
2  let x;
3
4  {
5   let y = vec![1, 2, 3];
6   x = &y;
7  }
8
9  println!("{x}")
10 }
```

"y does not live long enough"

https://play.rust-lang.org/?version=stable&mode=debug&edition=2021&gist=5391df9eeaf 4fadd71d0beb0052f868b

References mostly act like owned values

```
let x = 10;
                                                                                             Rust
   assert eq!(x, x);
   // does not compare locations, compares values
   assert eq!(&x, &x);
6
   // we can just print a reference
   // just like a value
   println!("{}", &x);
10
  // calling methods on values
12 x.ilog10()
13 // is the same as on references
14 (\&x).ilog10()
```

Last we saw types with "methods", associated functions.

The &self means we get a reference to the value when we call the method.

```
1 struct A;
2 impl A {
3   // takes a reference to Self
4   fn do_something_with_a(&self) {}
5  }
6
7
8 let x = A;
9 x.do_something_with_a();
10 // x still available
Rust
```

We can also make a method take self "by value"

```
1 struct A;
2 impl A {
3   // takes ownership of Self
4   fn do_something_with_a(self) {}
5  }
6
7
8 let x = A;
9 x.do_something_with_a();
10 // x no longer available
Rust
```

Often useful when converting values an operation like "turn A into B" destroys the old A, and we gives a new B https://doc.rust-lang.org/stable/std/collections/struct.BinaryHeap.html#method.into\_vec

- I've been using vec as an example everywhere
- I couldn't have used numbers
- because numbers are copy.

```
1 let a = 3;
2 let b = a;
3
4 // a and b are still valid!
```

https://doc.rust-lang.org/stable/std/marker/trait.Copy.html

Types that are copyable are

- Simple to destroy
- Cheap to create more instances of
- Often very simple, like numbers or booleans

References are Copy:

```
1 let x = vec![1, 2, 3]
2
3 let a = &x;
4 let b = a;
5
6 // all fine!
7 println!("{:?}", a);
8 println!("{:?}", b);
9 println!("{:?}", x);
```

Once we have one reference, it doesn't matter how many more we create!

#### Summary:

- Every value, at a point in the program, has a single binding that owns it
- This makes sure we know precisely when to deallocate memory
- clone duplicates a value explicitly
- Types that are copy don't need cloning
- A reference can "borrow" a value, avoiding "move"ing it

A binding is either mutable, or not

```
1 let x = 3;
2 let mut y = 3;
3
4 x = 4; // illegal
5 y = 5; // ok!
```

#### **Question:**

Why do we have to mark mutability?

- Lots of languages have this distinction (var vs const for example)
- Mutability is sometimes seen as a bit of an antipattern

When a variable is mutable, it could be changed *anywhere* 

```
1 let mut res = 0;
2 while res < 10 {
3    if x > 4 { res = 2; }
4    if y < 2 && res < 4 {
5       res = 8; x = 8;
6   } else {
7       res += 1;
8    }
9 }</pre>
```

Hard to know with what values x and y this code even terminates

- Lots of languages have this distinction (var vs const for example)
- Mutability is sometimes seen as a bit of an antipattern
- You don't need mutable variables that often

```
fn even sum(numbers: &Vec<i32>) -> i32 {
                                                                                           Rust
     let mut result = 0;
   for i in numbers {
    if i % 2 == 0 {result += i};
    }
     result
   }
   // VS
   fn even sum(numbers: &Vec<i32>) -> i32 {
10
     numbers.iter().filter(|i| i%2==0).sum()
11 }
```

Mutability applies to a single binding

```
1 let x = vec![1, 2, 3];
2 // x.push(4) doesn't work
3
4 // move to a mutable binding
5 let mut y = x;
6 // works just fine
7 y.push(4)
```

#### **Question:**

Why is it ok to add mutability to a value later on?

#### A borrow cannot mutate

```
1 fn add_four(y: &Vec<i32>) {
2    // error!
3    y.push(4);
4 }
5
6 fn main() {
7    let x = vec![1, 2, 3];
8    add_four(&x);
9 }
```

## **Question:**

Why not?

What if we want to change a value in a function? we could use moving:

```
// move the vector to this function
                                                                                           Rust
   fn add four(mut y: Vec<i32>) -> Vec<i32> {
    y.push(4);
3
     // and move back again
5
     У
6
8
   fn main() {
   let mut x = vec![1, 2, 3];
    // x must now be mutable for us to update it here
10
   x = add_four(x);
11
12 }
```

What if we want to change a value in a function? Or we use a *mutable reference* 

```
fn add four(y: &mut Vec<i32>) {
                                                                                             Rust
    y.push(4);
3 }
4
  fn main() {
    let mut x = vec![1, 2, 3];
    // &mut x only possible if x is mutable
8
    add_four(&mut x);
9 }
```

Mutable references aren't like normal references

• You can't copy them:

```
1 let mut x = vec![1, 2, 3];
2
3 let a = &mut x;
4 let b = a; // a moved into b, not copied
5
6 // so a is not valid anymore here
7 a.push(4);
8 b.push(5);
```

Mutable references aren't like normal references

- You can't copy them
- You can't have two at the same time at all!

```
1 let mut x = vec![1, 2, 3];
2
3 let a = &mut x;
4 let b = &mut x; // second reference to x
5
6 a.push(4);
7 b.push(5);
```

Error: cannot borrow  $\times$  as mutable more than once at a time (which is why copying is not allowed)

Mutable references aren't like normal references

- You can't copy them
- You can't have two at the same time
- Nor can you have a mutable and normal reference at the same time!

```
1 let mut x = vec![1, 2, 3];
2
3 let a = &mut x;
4 let b = &x; // *immutable* reference to x
5
6 a.push(4);
7 println!("{:?}", b);
```

Error: cannot borrow  $\times$  as immutable because it is also borrowed as mutable (which is why copying is not allowed)

Mutable references aren't like normal references

- You can't copy them
- You can't have any other reference at the same time!

A better name for a "mutable reference" is an "exclusive reference"

#### **Question:**

But why?

Example 1: growing vectors

push takes &mut self: https://doc.rust-lang.org/stable/std/vec/struct.Vec.html#method.push

```
let mut x = vec![1, 2, 3]
                                                                                             Rust
2
   // first reference, to an element
   let first elem = &x[0];
   // second reference, mutable this time
   // pushing might mean growing the vector, which might
   // change the location of the elements
   x.push(4);
9
   // the vector's data might have changed location!
   // no clue if this reference is still valid
12 println!("{}", first_elem);
```

Example 2: copying elements:

```
1 fn fill_vector_with_ref(src: &u32, dst: &mut Vec<u32>) {
2   for i in 0..src.len() { dst[i] = *src; }
3  }
4  
5  fn fill_vector_with_ref(src: &u32, dst: &mut Vec<u32>) {
6   let value = *src;
7   for i in 0..src.len() { dst[i] = value; }
8  }
```

#### **Question:**

Are these functions the same?

Example 2: copying elements:

What if src is an element in dst?

```
fn fill vector with ref(src: &u32, dst: &mut Vec<u32>) {
                                                                                             Rust
     for i in 0..src.len() { dst[i] = *src; }
3
   }
4
   fn fill vector with ref(src: &u32, dst: &mut Vec<u32>) {
     let value = *src;
6
     for i in 0..src.len() { dst[i] = value; }
8
   }
9
10 let mut x = vec![1, 2, 3];
11 fill vector with ref(&x[1], &mut x);
```

But Rust would reject this program.

```
1 let mut x = vec![1, 2, 3];
2 // obviously wrong
3 // mutable *and* immutable reference at the same time
4 fill_vector_with_ref(&x[1], &mut x);
```

Things get even worse when multiple threads are involved Can they both mutate the same value?  $\rightarrow$  Data races

In fact, some people start with explaining that this rule exists because of threading.

Read more on this:

- https://smallcultfollowing.com/babysteps/blog/2013/06/11/on-the-connection-between-memory-management-and-data-race-freedom/
- https://manishearth.github.io/blog/2015/05/17/the-problem-with-shared-mutability/

quote in that blogpost from kmc:

"My intuition is that code far away from my code might as well be in another thread, for all I can reason about what it will do to shared mutable state."

## **Ownership**

#### Summary:

- Bindings are mutable or not
- References are mutable or not
- Whenever something is mutably references, no other reference can exist

Want to practice with this?

Weblab: Assignments - Week 1 - Types - All about Vecs

We'll discuss in the lab tomorrow

Sometimes you want to reference more than one thing at a time:

```
let x = vec![1, 2, 3, 4]:
                                                                                            Rust
   // index 0, and 1 (excluding 2)
   let a: \&[u32] = \&x[0..2]
   // all elements at indexes starting from 2
   let b = &x[2..]
   // you can iterate over a slice
  for i in b {
     println!("{i}");
10
11 }
12
13 // or get its length
14 println!("{}", a.len());
```

Slices can be mutable:

```
1 let mut x = vec![1, 2, 3, 4];
2
3 // index 0, and 1 (excluding 2)
4 let a: &mut [u32] = &mut x[0..2]
5 for i in a {
6  *i += 3;
7 }
8
9 // prints 4, 5, 3, 4
10 println!("{:?}", x);
```

Some things coerce to slices:

```
// input is a slice
                                                                                            Rust
   fn sum(res: &[u32]) -> u32 {
  // ...
5
   // but we can call it with a vector!
  let x = vec![1, 2, 3];
  sum(\&x);
  // or a bit of a vector
10 sum(\&x[1..]);
  // or an array
12 sum(\&[1, 2, 3]);
```

So writing sum like this is more flexible

This gives us a fun way to write sum:

```
1 fn sum(input: &[u32]) -> u32 {
2   if input.is_empty() {
3      0
4   } else {
5      // add element 0 to everything after element 0
6      input[0] + sum(&input[1..])
7   }
8 }
```

Works for anything that looks like a sequence of u32, like vectors

- Last lecture: all about types
- Next lecture: all about enum types

But here are the basics, so you can get started using them

### **Question:**

How many possible values does a bool have?

## **Question:**

How many possible values does a u8 have?

## **Question:**

How many possible values does a u32 have?

### **Question:**

How many possible values does this type have?

```
1 struct X {
2  a: bool,
3  b: bool,
4 }
```

- We call a struct a "product type".
- If type A has n possible values
- If type B has m possible values
- Then a struct with A and B in it has  $n \times m$  possible values

Sometimes, you know that not all values are possible.

```
1 // NOTE: only 1-7 are valid
2 type WeekDay = u8;
3
4
5 // ???
6 let x: WeekDay = 8;
```

Sometimes, you know that not all values are possible.

```
// Only has 7 possible values
                                                                                              Rust
   enum WeekDay {
3
     Monday,
     Tuesday,
     Wednesday,
6
     Thursday,
     Friday,
     Saturday,
     Sunday,
9
10 }
11
   // we can only choose one of the valid values!
13 let x: WeekDay = WeekDay::Monday;
```

```
// Only has 7 possible values
                                                                                             Rust
   enum WeekDay {
3
     Monday,
     Tuesday,
     Wednesday,
5
6
     Thursday,
     Friday,
     Saturday,
     Sunday,
9
10 }
11
   // we can only choose one of the valid values!
13 let x: WeekDay = WeekDay::Monday;
```

Unlike in many other programming languages, enums can have values

```
1 enum IpAddress {
2    Ipv4([u8; 4]),
3    Ipv6([u8; 16]),
4  }
5
6  let x: IpAddress = IpAddress::Ipv4([127, 0, 0, 1]);
```

How many possible values?

```
1 enum IpAddress {
2    Ipv4([u8; 4]), // 2^32 ~= 4 billion
3    Ipv6([u8; 16]), // 2^128 ~= a lot
4 }
```

In total:  $2^{32} + 2^{128}$ 

Enums are sometimes called "sum types"

Another example: Option<T>

```
1 enum Option<T> {
2   Some(T),
3   None
4 }
5
6 // 257 possible values
7 // 256 if Some, or one more: None
8 let x: Option<u8> = Some(3);
```

## **Assignment: 5 minutes**

- Create an enum for a JSON value called Value
- a JSON value is either:
  - a floating point number
  - a string
  - true
  - ▶ false
  - ▶ null
  - ► a list of other JSON values
  - a json object, std::collections::HashMap<String, Value>

#### JSON spec

https://www.json.org/json-en.html